

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Small Entity)**

Docket No.  
47506

In Re Application Of: Marchionni, et al.

Serial No.  
09/756,481

Filing Date  
January 8, 2001

Examiner  
not yet assigned

Group Art Unit  
1614

Invention: **METHODS FOR TREATING NEUROLOGICAL INJURIES AND DISORDERS**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on  
March 29, 2001  
Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☒ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☐ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☐ \_\_\_\_\_ verified small entity declaration(s)
  - ☐ is/are attached.
  - ☐ was/were filed on \_\_\_\_\_
- ☐ A separate request for refund.
- ☐ Other (list):

07/09/2001 HTECKLU1 00000070 09756481

06/10/2002 55.00 DP

Adjustment date: 06/17/2002 SDIRETA1

07/09/2001 HTECKLU1 00000070 09756481

06/10/2002 -55.00 DP

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1614

Invention: **METHODS FOR TREATING NEUROLOGICAL INJURIES AND DISORDERS**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Attention: Manager, Application Branch

☒ Completion of application fees as calculated below:

<input checked="" type="checkbox"/> Utility application filing fee	\$355.00
<input type="checkbox"/> Design application filing fee	
<input checked="" type="checkbox"/> Total number of independent claims = 20	\$680.00
<input checked="" type="checkbox"/> Total number of claims = 96	\$684.00
<input checked="" type="checkbox"/> Multiple dependent claims	\$135.00
<input checked="" type="checkbox"/> Surcharge for late payment of filing fee and/or late filing of original declaration or oath	\$65.00
<input type="checkbox"/> Petition and fee for filing by other than all the inventors or a person not the inventor	
<input type="checkbox"/> Fee for processing an application filed with a non-English language specification	
<input type="checkbox"/> Fee for processing and retention of application	
Total completion of application fees	\$1,919.00

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☒ One month    ☐ Two months    ☐ Three months    ☐ Four months    ☐ Five months

from: May 29, 2001                      until: June 29, 2001  
Date    Date

Total time extension fees    \$55.00

Total fees due    \$1,974.00

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533) (Small Entity)**

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47506

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Serial No.  
09/756,481

Filing Date  
January 8, 2001

Examiner  
not yet assigned

Group Art Unit  
1614

Invention: **METHODS FOR TREATING NEUROLOGICAL INJURIES AND DISORDERS**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Attention: Manager, Application Branch

The fee of **\$1,974.00** is to be paid as follows:

- ☒ A check in the amount of the fee is enclosed.
- ☐ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No.  
A duplicate copy of this sheet is enclosed.
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. **04-1105**  
A duplicate copy of this sheet is enclosed.

  
*Signature*

Dated: **June 28, 2001**

**Peter F. Corless (Reg. No. 33,860)  
EDWARDS & ANGELL, LLP  
P.O. Box 9169  
Boston, MA 02209**

I certify that this document and fee is being deposited  
on **June 29, 2001** with the U.S. Postal Service as  
first class mail under 37 C.F.R. 1.8 and is addressed to the  
Assistant Commissioner for Patents, Washington, D.C.  
20231.

  
*Signature of Person Mailing Correspondence*  
**LAURA M. MCGUIRE**

*Typed or Printed Name of Person Mailing Correspondence*

CC:



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/756,481	01/08/2001	Mark Marchionni	47506

CONFIRMATION NO. 4213

## FORMALITIES LETTER



\*OC00000005917881\*

EDWARDS & ANGELL, LLP  
Dike, Bronstein, Roberts & Cushman, IP Group  
130 Water Street  
Boston, MA 02109

06/17/2002 SDIRETA1 00000007 041105 09756481

01 FC:101 710.00 OP  
02 FC:103 626.00 CH 580.00 OP  
03 FC:102 1360.00 CH  
04 FC:104 270.00 CH

Adjustment date: 06/25/2002 NPROASO  
06/17/2002 SDIRETA1 00000007 041105 09756481  
02 FC:103 626.00 CR -580.00 OP

Date Mailed: 03/29/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

07/09/2001 HTECKLU1 00000070 09756481

FILED UNDER 37 CFR 1.53(b)

Adjustment date: 08/09/2001 YGIZAW  
07/09/2001 HTECKLU1 00000070 09756481  
02 FC:203 -684.00 OP

Filing Date Granted

01 FC:201 355.00 OP  
02 FC:203 684.00 OP  
03 FC:204 680.00 OP  
04 FC:205 135.00 OP

08/09/2001 YGIZAW 00000019 09756481

01 FC:203 -684.00 OP

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file the required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$1499.
  - \$684 for 76 total claims over 20.
  - \$680 for 17 independent claims over 3.
  - \$135 for multiple dependent claim surcharge.

Repln. Ref: 08/09/2001 YGIZAW 0013382500  
DAH:041105 Name/Number:09756481  
FC: 704 \$81.00 CR

- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

06/25/2002 NPROASO 00000004 041105 09756481

- The balance due by applicant is \$ 1919.

01 FC:103 23.00 CH 1183.00 OP  
02 FC:105 130.00 CH

- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821

Adjustment date: 06/17/2002 SDIRETA1  
07/09/2001 HTECKLU1 00000070 09756481  
01 FC:201 -355.00 OP  
02 FC:202 -680.00 OP  
03 FC:203 135.00 OP  
04 FC:204 -684.00 OP  
05 FC:205 81.00 CR

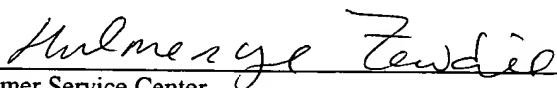
(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

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*A copy of this notice MUST be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE